

# Wave Groups and Wave Breaking in Random Seas

Thomas H. Dawson  
Rickover Hall  
590 Holloway Road  
U.S. Naval Academy  
Annapolis, MD 21402  
phone: (410) 293-6448 fax: (410) 293-2591 e-mail: [dawson@arctic.nadn.navy.mil](mailto:dawson@arctic.nadn.navy.mil)  
Award #: N0001498AF00002

## LONG-TERM GOALS

To develop an understanding of wave groups and wave breaking in random seas, including the interrelation between the two.

## OBJECTIVES

1. Study wave groups and wave breaking, develop a laboratory database using measurements made in the Naval Academy's 380-ft wave/towing tank, and develop appropriate statistical descriptions and theory for the observed phenomena.
2. Investigate influence of laboratory system on measurements.

## APPROACH

1. Statistics are derived from laboratory wave records of random seas. Theoretical concepts are established from similarity arguments, general statistical theory, existing theories of wave statistics and wave groups, wave mechanics and extensions to include nonlinear effects.
2. Influence of laboratory system on measurements is examined by varying measurement location in the wave tank, by comparison of results with full-scale ocean data, and by comparison with results from computer simulations.

### *Participating Individuals*

- Dr. D.L. Kriebel, Professor, U.S. Naval Academy. Colleague on research and publications.
- Ms. L.A. Wallendorf, Ocean Engineer, U.S. Naval Academy Hydromechanics Laboratory. Colleague on experimental measurements.

## WORK COMPLETED

### *Wave Groups*

Previous work under this project has involved development of wave group statistics from laboratory simulations of Bretschneider and Jonswap seas (Dawson et al., 1991). Statistics have been found to be insensitive to measurement location in the wave tank, provided location changes are limited to regions where the wave spectrum itself does not change appreciably (Wallendorf, 1989). Wave-group statistics

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE <b>1998</b>		2. REPORT TYPE		3. DATES COVERED <b>00-00-1998 to 00-00-1998</b>	
4. TITLE AND SUBTITLE <b>Wave Groups and Wave Breaking in Random Seas</b>				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <b>U.S. Naval Academy,590 Holloway Road,Annapolis,MD,21402</b>				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT <b>Approved for public release; distribution unlimited</b>					
13. SUPPLEMENTARY NOTES <b>See also ADM002252.</b>					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT <b>Same as Report (SAR)</b>	18. NUMBER OF PAGES <b>5</b>	19a. NAME OF RESPONSIBLE PERSON
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE <b>unclassified</b>			

from the scaled seas have been found to contain significant Stokes nonlinearity, causing increased crest amplitudes and decreased trough amplitudes (Kriebel and Dawson, 1991; Dawson and Kriebel, 1994). Linear theories of wave statistics and wave groups have been extended to include this nonlinearity within the context of Stokes 2nd order theory (Kriebel and Dawson, 1991; 1993). Enhanced group formation in ocean swell has been studied experimentally for comparison purposes (Dawson and Kriebel, 1993).

### *Wave Breaking*

Previous work has also been directed toward the laboratory study of wave breaking in random Jonswap seas and the development of breaking statistics for these seas when different degrees of wave breaking are present (Dawson et al., 1991; 1993). A simple theory for the probability of breaking at a point in narrow-banded seas has been developed. The theory has been extended to describe the distribution of crest amplitudes in severe seas with breaking (Kriebel and Dawson, 1993). Additional work has involved the study of the evolution of wave breaking by use of multiple probe measurements (Kriebel and Dawson, 1994). Breaking is found to occur when waves reach critical crest amplitudes as they run through wave groups, consistent with qualitative observations of others.

### *Scale Modeling*

Additional previous work has been concerned with scale modeling in the laboratory of sea conditions that existed in the Gulf of Mexico during Hurricane Andrew of 1992. The objective has been to determine how well actual sea-state statistics can be determined in laboratory studies. Laboratory results have been compared with those found from full-scale records, kindly supplied by Shell Oil Company. Good agreement was found to exist for basic statistics such as the relative number of high waves observed at a fixed location and the average number of waves in runs of one or more high waves (Dawson et al., 1996). Recent work (FY 98) has involved modeling extreme sea conditions that existed in the Gulf of Mexico during the intense Hurricane Camille of 1969. Laboratory wave records have been made and comparison with full-scale data is in progress, with special emphasis on wave-breaking conditions.

### *Markov Description*

Earlier work has involved a detailed study of the applicability of Markov theory in describing wave-crest statistics (Dawson et al., 1996). The basic assumption in this theory is that waves are statistically correlated only with their immediately preceding neighbor. Comparisons with laboratory and field measurements indicate direct applicability of the theory for waves with crest amplitudes greater than 50% of the significant wave height. More recently, the Markov theory has been extended to describe group structure in random seas, that is, the statistics associated with the occurrences of two or more consecutive high waves (Dawson, 1997). Comparison with laboratory and field data showed good agreement. Additional comparisons with laboratory and computer data have been completed (Dawson, 1998a). Theoretical and experimental studies relating the Markov description to spectral properties of random seas have also been completed (Dawson, 1998b). Recent work (FY98) has been completed on an examination of Markov correlation for the very highest waves in a Jonswap sea (Dawson, 1998c). As a part of this work, the Stokes 2nd order theory for nonlinearity in random seas has been extended to 5th order.

## *Wave Breaking and Group Statistics*

Work is continuing on an experimental study of the effect of wave breaking on group statistics. Recently (FY98), wave measurements have been made for three cases of a scaled Jonswap sea: (1) that with large, but non-breaking waves, (2) that with large waves having moderate wave breaking, and (3) that with large waves having significant breaking. Analysis of wave data with respect to group properties is continuing for this new data set, as well as for measurements reported for FY97.

## **RESULTS**

Results achieved in FY98 include the following:

1. Based on extensive computer simulation of a Jonswap sea, it has been found that no Markov correlation exists for waves having crest amplitudes greater than about 80% of the significant wave height. This is in contrast with the case of waves with crest amplitudes exceeding 50% of the significant wave height, where appreciable correlation exists. The implication is that no biased grouping of the very highest waves exist and that any occurrence of two or more very high waves in a group is strictly a matter of chance for independent events. This result is of importance regarding predictions of the expected highest wave in a sea of finite duration (Dawson, 1998c).
2. Extension of Stokes 2nd order theory for nonlinearity in random seas to 5th order has indicated that, for severe seas, the probability of occurrence of wave crests greater than the significant wave height is somewhat less than predicted by 2nd order theory and that the corresponding probability of occurrence of wave heights greater than twice the significant wave height is somewhat increased over the 2nd order of prediction. Work is continuing on experimental verification of the extended theory.
3. Experimental studies of the effects of wave breaking on group statistics indicate, as a result of wave breaking, that the average number of waves in a group of waves, say  $N_{DG}$  is decreased from the non-breaking case, and that the average number of waves between wave groups, say  $N_{IG}$ , is increased because of the wave breaking. For example, considering Jonswap seas and wave heights greater than the significant wave height, a non-breaking sea provides values  $N_{DG} = 2.5$  and  $N_{IG} = 31$ , while a sea with noticeable breaking provides values of  $N_{DG} = 2.2$  and  $N_{IG} = 41$ . These results are, of course, consistent with earlier observations that breaking waves generally occur in groups. They indicate that breaking can reduce the number of waves in a group and reduce the number of groups existing in the sea.

## **IMPACT/APPLICATIONS**

A detailed description of surface-wave statistics and wave breaking is of fundamental importance in understanding the nature of wind-driven sea states. For example, conventional wave statistics provide information on the relative number of high waves expected at a fixed location in a non-breaking random sea, but provide no information on the relative number arriving alone, in groups of two, groups of three, etc. For this refined description, wave-group and wave-breaking statistics are needed. A detailed description of wave statistics and wave breaking is also of importance in understanding

hazards to and response of marine systems in heavy seas. Motions of such systems may be acceptable when caused by a single wave, but unacceptably high when caused by two or more successive high waves in a group. Nonlinearity associated with wave-crest statistics and wave groups is also important in forming accurate estimates of deck loading and deck wetting of ships and structures in heavy seas. Breaking of large waves in severe seas can require modification of such estimates.

## TRANSITIONS

Increasing applications of wave-crest and wave-group statistics in ocean engineering can be expected in the near future as design methodology is refined to meet the continuing challenge of operating in severe seas.

## REFERENCES

- T.H. Dawson, 1997: "Group Structure in Random Seas," *AMS Journal of Atmospheric and Oceanic Technology*, 14, 741.
- T.H. Dawson, 1998a: "Statistics for Wave Crests in Heavy Seas," *ASCE Proceedings, 3rd International Conference on Ocean Wave Measurement and Analysis*, Virginia Beach.
- T.H. Dawson, 1998b: "On Markov Theory and Wave Crest Statistics", *Transactions ASME Journal of Offshore Mechanics and Arctic Engineering*, 120, 56.
- T.H. Dawson, 1998c: "Maximum Wave Crests in Heavy Seas", U.S. Naval Academy Report, June.
- T.H. Dawson and D.L. Kriebel, 1993: "Experimental Study of Wave Groups in Ocean Swell", *ASME Proceedings, Offshore Mechanics and Arctic Engineering Conference*, Glasgow.
- T.H. Dawson and D.L. Kriebel, 1994: "Nonlinearity in Crest-Trough Statistics of Bretschneider Seas," *ASME Proceedings, Offshore Mechanics and Arctic Engineering Conference*, Houston.
- T.H. Dawson, D.L. Kriebel and L.A. Wallendorf, 1991: "Experimental Study of Wave Groups in Deep-Water Random Waves," *Applied Ocean Research*, 13, 116.
- T.H. Dawson, D.L. Kriebel and L.A. Wallendorf, 1991: "Breaking Wave in Deep Water Random Seas," *Proceedings International Offshore and Polar Engineering Conferences*, Edinburgh.
- T.H. Dawson, D.L. Kriebel and L.A. Wallendorf, 1993: "Breaking Waves in Laboratory Generated Jonswap Seas", *Applied Ocean Research*, 15, 5.
- T.H. Dawson, D.L. Kriebel and L.A. Wallendorf, 1996: "Markov Description of Wave Crest Statistics," *Transactions ASME Journal of Offshore Mechanics and Arctic Engineering*, 118, 37.
- D.L. Kriebel and T.H. Dawson, 1991: "Nonlinear Effects on Wave Groups in Random Seas," *Transactions ASME Journal of Offshore Mechanics and Arctic Engineering*, 113, 142.

D.L. Kriebel and T.H. Dawson, 1993: "Nonlinearity in Wave Crest Statistics," *ASCE Proceedings, 2nd International Conference on Ocean Wave Measurements and Analysis*, New Orleans.

D.L. Kriebel and T.H. Dawson, 1993: "Distribution of Crest Amplitudes in Severe Seas with Breaking," *Transactions ASME Journal of Offshore Mechanics and Arctic Engineering*, 115, 9.

D.L. Kriebel and T.H. Dawson, 1994: "Evolution of Wave Breaking in Random Seas," *Proceedings International Symposium: Waves - Physical and Numerical Modeling*, Vancouver.

L.A. Wallendorf, 1989: "Generation of Long Runs of Waves in Laboratory Basins," *Proceedings, American Towing Tank Conference*, St. John's.

## **PUBLICATIONS**

Dawson, T.H. 1998: "Statistics for Wave Crests in Heavy Seas," *ASCE Proceedings, 3rd International Conference on Ocean Wave Measurement and Analysis*, Virginia Beach.

Dawson, T.H., 1998: "On Markov Theory and Wave Crest Statistics", *Transactions ASME Journal of Offshore Mechanics and Arctic Engineering*, 120, 56.

Dawson, T.H., 1998: "Maximum Wave Crests in Heavy Seas", U.S. Naval Academy Report, June.